

FrontLine

Clearing Notice 03/2009

Segregation of SIX x-clear Ltd-specific messages and reports

1.0 Introduction

The message interface to SECOM, the application of SIX x-clear, is ensured through a C/C interface (via GCI) or via the SWIFT network. Currently, SIX x-clear-specific messages and reports are sent from SECOM to the C/C interface using the BIC of SIX x-clear (CLRXCHZ0XXX) and to the SWIFT network using the BIC of SIX SIS Ltd (INSECHZ0XXX).

2.0 BIC change for SIX x-clear-specific SWIFT output

To align the process between C/C and SWIFT networks, it is planned to use the BIC of SIX x-clear also for the SWIFT network for SIX x-clear-specific messages and reports.

The table below summarizes the changes:

System environment	Current BIC – SWIFT network	New BIC – SWIFT network	Change date
Test environment	INSECHZ0XXX	CLRXCHZ0XXX	4 January 2010
Production environment	INSECHZZXXX	CLRXCHZZXXX	8 February 2010

2.1 Overview of SIX x-clear-specific messages and reports

SIX x-clear-specific messages and reports are listed below. For more detailed inputs on the messages/reports, please refer to the Business Partner Specifications Volume 5.

2.2 SIX x-clear messages

MT 598-308 Margin Call Pre-Information Intimation

MT 900 Confirmation of Debit

MT 518 Trade Information to Clearing members of CCP

MT 540 Receive Free of Payment instruction from x-clear

MT 541 Receive against Payment instruction from x-clear

MT 542 Deliver Free of Payment instruction from x-clear

MT 543 Deliver against Payment instruction from x-clear

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MT 548 Trade status intimations / Cancellation request status intimation from x-clear

MT 578 Settlement Allegement from x-clear

2.3 SIX x-clear reports

MT 598-305 Open Positions Statement

MT 598-405 Open Positions Statement at asset class level

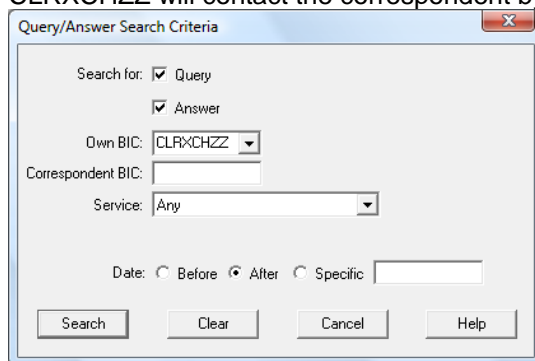
MT 537 Statement of Pending Transactions from x-clear

3.0 Exchange of the BIC

All current participants of SIX x-clear will be approached by our SWIFT Alliance team to exchange the BIC keys until 8 January 2010. Should the key exchange not happen within this time frame, please contact our SWIFT Alliance team within the first week of January 2010.

3.1 How to exchange the RMA (Relationship Management Application)

1. Menu "SWIFT Alliance / Relationship Management"
2. CLRXCHZZ will contact the correspondent by sending "Query with details"



3. CLRXCHZZ will set up the new authorization "New authorization to receive":

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The screenshot shows a window titled "New Authorisation to Receive". At the top, there is a "Service:" dropdown menu with "swift.fin" selected. Below that, the "Own BIC:" section contains two list boxes. The "Available" list box contains "INSECHZZ" and "TKZHCHZZ". The "Selected" list box contains "CLRXCHZZ". Between the two list boxes are right and left arrow buttons. Below the list boxes is a "Correspondent BIC:" field with two empty input boxes. At the bottom of the window are three buttons: "Continue", "Cancel", and "Help".

4. On the correspondent's side:
Please accept the new authorization from CLRXCHZZ and send your authorization by clicking on "Send".

4.0 Contact

For BIC key exchange issues please contact:

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If you have any further questions, please contact your Customer Relation Manager or the Customer Desk.